



PATENT
Attorney Docket No. BKE-004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Ponomarenko
SERIAL NO.: 10/008,599 GROUP NO.: 2121
FILING DATE: December 3, 2001 EXAMINER: Not yet assigned
TITLE: Optical Multi-Gate Device and Method

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

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Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- (1) within three (3) months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the first Office action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- (2) after the period defined in (1) but before the mailing date of a final action or a notice of allowance under 37 C.F.R. 1.311, and
- the requisite Statement is below, OR
- the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or

- (3) after the mailing date of a final action or notice of allowance but before the payment of the issue fee, AND
- the requisite Statement is below, AND
- the requisite petition fee under 37 C.F.R. 1.17(p), namely \$180.00 is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

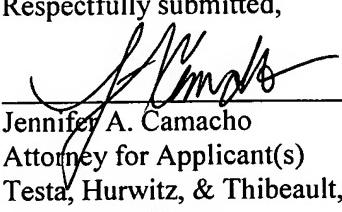
1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Date: 2/10/04
Reg. No. 43,526

Tel. No.: (617) 248-7476
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3016241



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U.S. Department of Commerce, Patent and Trademark Office			Serial No. 10/008,599		Atty. Docket No. BKE-004		
			Applicant: Ponomarenko				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)							
			Group: 2121		Filing Date: December 3, 2001		
U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	4,262,992	Apr. 21, 1981	Berthold III John W	350	96.14	Apr. 18, 1979
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
Foreign Patent Documents							
Translation							
No	Yes			Country			
		AL	WO 01/67607	Romaniuk Charles C	International Publication		
		AM	EP 01 174 759	Lucent Technologies Inc.	European Patent Application		
		AN					
		AO					
		AP					
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AR	Gatti E et al. 'Logical Operations by Interferometric Techniques' Electronics Letters, 13 December 1968, UK, Vol. 4, no. 25, pages 556-557					
Date Considered					Examiner		
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>							

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TRANSMITTAL FORM

Application Serial Number	10/008,599
Filing Date	December 3, 2001
First Named Inventor	Ponomarenko
Group Art Unit	2121
Examiner Name	Not Yet Assigned
Attorney Docket No.	BKE-004
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement	
<input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Form PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations	<input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	RECEIVED FEB 26 2004 Technology Center 2100
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above		

CORRESPONDENCE ADDRESS

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Respectfully submitted,

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CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 20th day of February, 2004.

Wendy Martin
Wendy Martin

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Submitted herewith is/are: Transmittal Form (1 pg.); Supplemental Information Disclosure Statement (2 pgs.); Form 1449 (1 pg.); Cited References AA, AL, AM and AR; Return receipt postcard; and this Certificate of First Class Mail.

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